TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER ROH-037

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/830,092

INTERNATIONAL APPLICATION NO. PCT/JP00/05596

INTERNATIONAL FILING DATE 22 August 2000

PRIORITY DATE CLAIMED 23 August 1999

TITLE OF INVENTION:

711

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SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME

APPLICANT(S) FOR DO/EO/US Kazutaka SHIBATA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information
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- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐A copy of the International Application as filed (35 U.S.C. 371(c)(2)
 - a. \square is transmitted herewith (required only if not transmitted by the International Bureau).
- b. Dhas been transmitted by the International Bureau (1)
 - c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. Dare transmitted herewith (required only if not transmitted by the International Bureau).
 - b. \square have been transmitted by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendment has NOT expired.
 - d. Thave not been made and will not be made.
- $\stackrel{\text{fig. 1}}{8}$. \square A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern either document(s) or information included:

- 11. In Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. DA FIRST preliminary amendment.
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. A substitute specification.
- 15. \square A change of power of attorney and/or address letter.
- 16. \(\text{\tinit}}}}} \ext{\texi}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\texitilex{\text{\text{\text{\text{\text{\texitilex{\text{\texitilex{\texit{\texitilex{\texitilex{\texitilex{\texitilex{\tilie}}}}\tightint{\text{\texitilex{\texit{\texi}\tiliex{\t

Associate Power of Attorney

U.S. APPLICATION NO. (If known, see 37 09/830,092	. APPLICATION NO. (If known, see 37 CFR 1.5) /830,092 INTERNATIONAL APPLICATION NO. PCT/JP00/05596				ATTORNEY'S DOCKET NUMBER ROH-037		
17. X The following fees are s	CALCULATIONS	PTO USE ONLY					
Basic National Fee (37 Cl Search Report has been prep	\$						
International preliminary ex							
No international preliminary fee paid to USPTO (37 CFF							
Neither international prelim CFR 1.445(a)(2)) paid to U							
International preliminary ex provisions of PCT Article 3							
	\$						
Surcharge of \$130.00 for furnish earliest claimed priority date (37	\$						
Claims	Number F	illed	Number Extra	Rate			
Total Claims	25-20=		5	X \$18	\$		
Independent Claims	5-3=		2	X \$80	\$		
Multiple dependent claim(s) (if a	\$						
Multiple dependent class (-) (-)	\$						
Reduction by ½ for filing by sm (Note 37 CFR 1.9, 1.27, 1.28)	\$						
(Note 37 CFR 1.9, 1.27, 1.20)	\$						
Processing fee of \$130.00 for fuertliest claimed priority date (3'	\$ 130.00						
earnest claimed priority date (5	\$						
Fee for recording the enclosed appropriate sheet (37 CFR 3.28	\$						
appropriate sneet (37 CFR 3.26	\$						
	Amount to be refunded:	\$					
	charged:	\$ 130.00					
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 18-0013 in the amount of \$130 to cover the above fees. A duplicate of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDE	Of Mag SIGNATURE						
Rader, Fishman & Graud 1233 20th Street, N.W.,	Robert S. Green						
Washington, DC 20036	41,800 REGISTRATION NUMBER						
Dated: June 27, 2001							